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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/008,228

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Deborah S. Schnur

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05/21/2002

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EXAMINER

DOLAN, JENNIFER M

ART UNIT

PAPER NUMBER

2652

DATE MAILED: 05/21/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/008,228

Applicant(s)

SCHNUR ET AL.

Examiner

Jennifer M. Dolan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 November 2001 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Drawings*

1. The drawings are objected to because the primary air bearing is listed as reference number 102, and the secondary air bearing is listed as reference number 104 in the specification (for example, page 10, lines 13 – 20), but in the drawings, item 102 points to the secondary air bearing and 104 points to the primary air bearing. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in-

(1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or

(2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

3. Claims 1 - 6 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,181,531 to Koshikawa et al.

Regarding claim 1, Koshikawa discloses a slider (110) for supporting a transducing head (152b) proximate a rotating disc, the slider comprising a slider body (figure 23), including a primary air bearing (surface in figure 23 except portion 152) and a secondary air bearing (152),

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the slider body having a disc opposing face (figure 23, ABS) bounded by a leading edge (near 117) and a trailing edge (near 151) wherein the transducing head is located on the disc opposing face proximate the trailing edge and on the secondary air bearing (figure 23); and means (151a, 152a) for permitting vertical movement of the transducing head with respect to the slider body (column 15, lines 6 – 11). Because the second air bearing of Koshikawa is spring supported in the same manner as the claimed invention, it is implicit that the transducing head moves in response to the local disc surface topography to maintain head media spacing between the transducing head and the disc substantially constant as the slider flies over the disc.

Regarding claim 2, Koshikawa discloses that the means for permitting vertical movement of the transducing head is an interface (151 and 152) connecting the primary air bearing (including 151) to the secondary air bearing (152) (figure 24).

Regarding claim 3, Koshikawa discloses that the interface displaces the secondary air bearing vertically with respect to the primary air bearing (column 15, lines 9 – 11).

Regarding claim 4, Koshikawa discloses that the interface substantially surrounds the secondary air bearing (figures 23 and 24).

Regarding claim 5, it is implicit in Koshikawa that the interface, comprising a spring and an air gap (figure 24) is less stiff than the primary air bearing material.

Regarding claim 6, Koshikawa discloses that the interface comprises a spring (154) connecting the primary air bearing to the secondary air bearing (figure 24).

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 7 - 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Koshikawa et al. in view of U.S. Patent No. 6,069,769 to Dorius et al.

Regarding claim 7, Koshikawa discloses a slider (110) for supporting a transducing head (152b) proximate a rotating disc, the slider comprising a primary air bearing (surface in figure 23 except portion 152) having a disk opposing face bounded by a leading edge (near 117) and a trailing edge (near 151) wherein an air bearing surface is formed on a disk opposing face (figures 23 and 24); a secondary air bearing (152) having a disk opposing face bounded by a front edge and a back edge (figure 24), wherein the air bearing surface is defined on the disk opposing face (figures 23 – 25), the air bearing surface having a protrusion (figure 23) proximate the second trailing edge, wherein the transducing head (152b) is located on the protrusion (figure 23); and an interface (151a and 152a) connecting the secondary air bearing to the primary air bearing wherein the interface displaces the transducing head vertically with respect to the primary air bearing (column 15, lines 6 – 11). It is implicit that the HMS is maintained substantially constant as the slider flies above the disc, because the secondary air bearing is spring supported.

Koshikawa fails to disclose that the secondary air bearing has a pad and is bounded by the trailing edge.

Dorius discloses a slider having a secondary air bearing pad (503) wherein the transducing head is located on the pad, and the pad is bounded by the trailing edge (figure 5).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to provide on the slider of Koshikawa a trailing end pad, wherein the transducing head is located on the pad, as taught by Dorius. The rationale is as follows: One of ordinary skill in the art at the time the invention was made would have been motivated to provide a trailing end pad, because a trailing pad helps counterbalance negative and positive pressure regions of the slider, so that the flying height variation is minimized and flattened (Dorius, column 5, line 61 – column 6, line 15).

Regarding claim 8, Koshikawa discloses that the interface substantially surrounds the secondary air bearing (figures 23 and 24).

Regarding claim 13, the transducer pad of Koshikawa as modified by Dorius is considered to modulate in response to local disc surface topography to maintain the HMS substantially constant, because the slider of Koshikawa uses a spring and actuation comb mechanism substantially similar to the applicant's discloses invention. Thus, the transducer pad of Koshikawa is considered to move vertically based on air flow differences resulting from changes in local disc topography.

Regarding claim 14, Koshikawa discloses that the interface comprises a spring (154) connecting the primary air bearing to the secondary air bearing and a gap is formed between the primary and secondary air bearings (figure 24).

Regarding claim 15, Koshikawa discloses a first actuation comb (151a) attached to the primary air bearing (151) and lying within the gap; and a second actuation comb (152a) attached

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to the secondary air bearing (152) and lying within the gap wherein the first and second actuation combs are interwoven (figure 24).

Regarding claim 16, Koshikawa discloses that the first and second actuation combs are electrostatic combs (column 1, lines 10 – 13, column 15, lines 17 – 22, and figure 14b).

Regarding claim 17, Koshikawa discloses a slider (110) for supporting a transducing head (152b) proximate a rotating disc, the slider having a disk opposing face bounded by a leading edge (near 117) and a trailing edge (near 151), the slider body having a longitudinal axis (y-axis in figure 23); an air bearing surface (figure 23) defined on the disc opposing face (figures 23 – 25), the air bearing surface having a protrusion (figure 23) proximate the second trailing edge, wherein the transducing head (152b) is located on the protrusion (figure 23); and an interface (151a and 152a) defined in the slider body and substantially surrounding the transducing head (figures 23 – 25) wherein the interface displaces the transducing head vertically with respect to the primary air bearing (column 15, lines 6 – 11). It is implicit that the HMS is maintained substantially constant as the slider flies above the disc, because the secondary air bearing is spring supported.

Koshikawa fails to disclose that the secondary air bearing has a pad.

Dorius discloses a slider having a secondary air bearing pad (503) wherein the transducing head is located on the pad (figure 5).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to provide on the slider of Koshikawa a trailing end pad, wherein the transducing head is located on the pad, as taught by Dorius for the reasons listed above, with respect to claim 7.

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Regarding claims 9 and 18, it is implicit in Koshikawa that the interface, comprising a spring and an air gap (figure 24) is less stiff than the primary air bearing material.

Regarding claims 10 and 19, it is implicit in Koshikawa that the interface, comprising a spring, actuation combs, and an air gap is a different material than the primary and secondary air bearings material, which has no air gap. It is likewise implicit that the spring and air gap of the interface is less stiff than the solid slider material of the first and second air bearings.

Regarding claims 11 and 20, Koshikawa discloses that the interface (151, 152) has a first surface at the disc opposing face (figures 23 and 24) of the primary air bearing, and the slider further comprises at least one spring (154) etched into the first surface of the interface (figure 24).

6. Claims 12 and 21 – 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Koshikawa et al. in view of Dorius et al. as applied to claims 7 and 17 above, and further in view of U.S. Patent No. 5,943,189 to Boutaghou et al.

Regarding claims 12 and 21, Koshikawa fails to disclose that the slider body has a first thickness and the interface has a second thickness, the first thickness being greater than the second thickness.

Boutaghou discloses a slider (24) with a first thickness and an interface (58) with a second thickness, wherein the first thickness is greater than the second thickness (figures 5 and 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the slider of Koshikawa as modified by Dorius, such that the slider body



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thickness is greater than the interface thickness, as taught by Boutaghou. The rationale is as follows: One of ordinary skill in the art at the time the invention was made would have been motivated to provide an interface which is less thick than the slider, so that the air bearing section with the transducer can more readily bend to vertically displace the transducer with respect to the recording medium surface (figures 5 and 6).

Regarding claim 22, Koshikawa discloses a slider (110) for supporting a transducing head (152b) proximate a rotating disc, the slider comprising a primary air bearing (surface in figure 23 except portion 152) having a disk opposing face bounded by a leading edge (near 117) and a trailing edge (near 151); a secondary air bearing (152) having a disk opposing face bounded by a front edge and a back edge (figure 24); an air bearing surface defined on the disc opposing faces of the primary and secondary air bearings (figures 23 and 24), the air bearing surface having a protrusion (figure 23) proximate the second trailing edge, wherein the transducing head (152b) is located on the protrusion (figure 23); and a spring (154) connecting the secondary air bearing to the primary air bearing wherein the interface displaces the transducing head vertically with respect to the primary air bearing (column 15, lines 6 – 11). It is implicit that the HMS is maintained substantially constant as the slider flies above the disc, because the secondary air bearing is spring supported.

Koshikawa fails to disclose that the secondary air bearing has a pad

Dorius discloses a slider having a secondary air bearing pad (503) wherein the transducing head is located on the pad (figure 5).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to provide on the slider of Koshikawa a pad, wherein the transducing head is located

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on the pad, as taught by Dorius. The rationale is as follows: One of ordinary skill in the art at the time the invention was made would have been motivated to provide a pad, because a pad helps counterbalance negative and positive pressure regions of the slider, so that the flying height variation is minimized and flattened (Dorius, column 5, line 61 – column 6, line 15).

Koshikawa further fails to disclose that the primary air bearing is bounded by a rear edge, the secondary air bearing is bounded by a trailing edge; and the spring connects the front edge of the secondary air bearing to the rear edge of the primary air bearing.

Boutaghou discloses a slider wherein the primary air bearing bounded by a leading edge and a rear edge, a secondary air bearing bounded by a front edge and a trailing edge, and an interface connecting the front edge to the rear edge (figures 5 and 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the slider of Koshikawa as modified by Dorius so that the air bearings are bounded and the interface is located in the manner taught by Boutaghou. The rationale is as follows: One of ordinary skill in the art at the time the invention was made would have been motivated to provide bounding rear and front edges, because the geometry of the device is simpler, and would thus require fewer manufacturing steps and less cost than the “floating” secondary air bearing of Koshikawa.

Regarding claim 23, Koshikawa discloses a first actuation comb (151a) attached to the primary air bearing (151) and lying within the gap; and a second actuation comb (152a) attached to the secondary air bearing (152) and lying within the gap wherein the first and second actuation combs are interwoven (figure 24).

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Regarding claim 24, Koshikawa discloses that the first and second actuation combs are electrostatic combs (column 1, lines 10 – 13, column 15, lines 17 – 22, and figure 14b).

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer M. Dolan whose telephone number is (703) 305-3233.


The examiner can normally be reached on Monday-Friday 8:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hoa T. Nguyen can be reached on (703) 305-9687. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9314 for regular communications and same for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Jennifer M. Dolan  
Examiner  
Art Unit 2652

jmd  
May 13, 2002

  
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5/20/02